

I. The Structure of Discourse

1. Syntax is the grammatical regimen that informs meaningful discourse. It is concerned with the relationship between the forms and functions of words in context (morphosyntax) and with the principles that shape their arrangement in sequence (word order).

Extended discourse is divisible into a sequence of grammatically autonomous units, which for want of a clearer term will here be called *sentences*. They are those units which can be marked off in writing by full stops, corresponding to breaks in syntactical continuity between successive units.

2. A sentence may consist of a single word or of many. Each word has a grammatically definable form appropriate to its function in the utterance. The sentence as a whole is an organic structure, in principle internally coherent, though in practice the coherence may break down if the speaker or writer switches from one construction to another, having forgotten how the first one started out, or having found it inconvenient to continue with it; such a switch is termed *anacolouthon*.

Being a grammatical and not a logical unit, the sentence does not necessarily give explicit expression to a self-sufficient piece of meaning. The sense intended may be apparent only when two or more sentences are taken together, or when the words are mentally supplemented from the context or from an understanding, shared between author and recipient, of the conceptual framework, factual background, or immediate situation to which the utterance has reference.

3. In nearly all sentences a grammatical subject and predicate can be identified, whether or not they are explicitly expressed.¹ In an inflected language both are often expressed in a single word, as in Latin *dixi* 'I have spoken'.

The grammatical 'subject' is not necessarily the main topic or referent upon which attention is being directed (cf. §315). Its status is purely syntactical: in a verbal sentence it determines the choice of the verb (and in inflected

1 Often one or the other is understood from the context. Sentences not so analysable would include: (1) imperatives such as 'come here', 'shut up'; (2) vocatives and other exclamations, e.g. 'Veronica!' or 'Hell!'; (3) utterances such as 'yes' and 'no', which are tokens standing for the subject-predicate sentences 'it is so', 'it is not so', 'I refuse', etc.; (4) subjectless verbs such as Latin *pluit* 'it is raining'. On these last see Delbrück iii. 23-37; Brugmann (1925), 17-41.

languages its marking for person, number, and voice); in a nominal sentence (§8) it is the prior element in the equation.

‘Predicate’ refers to the use made of the subject. The term suggests a statement about it, but it may equally be a surmise, a question, or a wish concerning it.

4. The subject–predicate synthesis, whether explicit or implicit, is the essential nucleus of most sentences. When explicit, its expression may require several words, depending on how unitary the subject and predicate are and on the linguistic resources available for their formulation. The subject may be non-unitary, for example, because it consists of two or three named persons, ‘A and B and C’. The predicate may be non-unitary because it makes a connection among several distinct entities, as in ‘(Diomedes) sent the horses of Aeneas to the Achaean camp by means of his servant’.

5. The subject–predicate nucleus can be built upon in various ways, for example by adding further information about the subject, or about one or more of the persons or things present in the predicate, or about the manner in which an action is performed. These amplifications may be achieved with single words, with longer phrases, or with whole extra clauses that contain their own subject–predicate syntheses. When these are attached to the original nuclear clause in certain grammatically defined ways, we deny them the status of independent sentences and classify them as subordinate clauses.

A sentence is complete, not when a self-sufficient grammatical structure has been formed or a self-sufficient piece of sense expressed, but only when the author of the discourse stops adding to the structure he has built on the nucleus and starts a new construction on a different one.

Sentence and Clause in Old Avestan

6. Sentences in Old Avestan, especially in the *Gāthās*, show great variation in their extension, from nuclear brevity to protracted utterances of considerable syntactic density. The shortest sentences are mostly answers to questions, where the question sets up the syntactic frame into which the answer fits: 43. 7–8 “*ciš ahī?*” ... “*Zaraduštrō*”, ‘who art thou?—Zarathushtra’; 43. 9 “*kahmāi vīuūduiīē vašī?*” ... “*ṽβahmāi āvrē*”, ‘whom dost thou wish to serve?—Thy fire’; 51. 22 *yehiā mōi ... vahištəm yesnē paiī, vaēdā: Mazdā Ahurō*, ‘I know in whose worship my best (interest lies): (it is) Mazdā the Lord’.

7. Occasionally one sentence is inserted parenthetically into another (§378). A main clause is often preceded or followed by one or more subordinate clauses; a subordinate clause may also be embedded inside a main clause, or further material may be appended to the main clause after a subordinate clause. A sub-

ordinate clause may sprout a further dependent clause of its own, and that one a third, so that there is a syntactic hierarchy, as in 43. 4,

*aṭ ʋβā mōnghāi taxmāmcā spəntəm, Mazdā,
hiiat tā zastā, yā tū hafsi auuā
yā dā ašiš drəguuāitē ašāmaēcā ...
hiiat mōi vaṅhəuš hazē jimaṭ manəḡhō.*

I will think thee bold and bounteous, Mazdā,
when by that hand, in which thou holdest those
rewards which thou hast set for the wrongful one and the righteous ...
the force of good thought comes to me.

By far the greatest number of subordinate clauses are relative clauses. Others can be classified as temporal, causal, comparative, final, conditional, and object clauses, though the classification is often open to interpretation, especially as the same subordinating conjunction *hiiat* is used in more than one function. No example of a concessive clause occurs.

Old Avestan also has other means of attaching secondary subject–predicate syntheses to the main clause, by using verb–derived forms (infinitive, participle, *nomen agentis*, *nomen actionis*) capable of fitting in to the construction as nouns while at the same time exercising verbal rection. In this way the sentence may develop an outgrowth analogous in function to a regular subordinate clause. The creation of nominal compounds containing verbal elements can achieve the same effect on a small scale.

Verbal and Nominal Predication²

8. The finite forms of the verb serve to make (or contribute to) the predicate in a main or subordinate clause. But frequently the predicate is verbless, giving what is called a *nominal sentence*. The predicate in this case may be a noun or noun phrase, a pronoun, an adjective, or an adverb (cf. §133). Nominal syntax occurs both in main and in subordinate clauses. It is the normal way of saying ‘A is B’; the verb *ah-*, which may serve as a copula ‘be’, does not in fact occur in this function in the *Gāthās* in the 3rd sg. or pl. of the present indicative, and it can be omitted even in 1st- and 2nd-person statements.³

Examples of nominal main clauses: 28. 9 *yūžəm zəuuištiiāḡhō; īšō xšəṭrəmcā sauuaḡḡam*, ‘ye (are) the promptest ones; (your) powers and domain

2 Reichelt §715.

3 The primary meaning of *ah-* was not ‘be (the same as)’ but something like ‘be there, be available, be palpably present’; cf. Delbrück iii. 12–14. It retains this sense in passages such as 29. 5 *ā huuā*, 9 *aḡḡaṭ*; 31. 16 *aḡḡaṭ*; 43. 16 *xiiāṭ*; 50. 7 *xiiāṭā*. In YH 35. 6 *aḡḡā ... yaḡḡā īt asī* means ‘so, just as it (actually) is’ (as opposed to how it might be misrepresented); it is more than a simple copula. In 27. 14, *ašəm vohū vahištam asī, uštā asī*, it should perhaps be given a more emphatic translation than simply ‘is’.

(are) of strengths'; 29. 8 *aēm mōi idā vistō*, 'this man here I have found'; 31. 6 *Mazdāi auuaṭ xšaθrəm, hiiat* ..., 'that (is) dominion for Mazdā, what ...'; 31. 7 *huuō xraθβā dāmiš Ašəm*, 'he by his sapience (is) the creator of Right'; 31. 20 *diuuamnəm hōi aparəm xšaiiō*, 'radiance (is) his hereafter to possess'; 31. 22 *ciθrā ī hudāḡhē*, 'these things (are) clear for the well-doer'; 32. 16 *hamōm taṭ vahištā-cīṭ*, 'that (is) equal to the very best'; 48. 7 *aṭ hōi dāmaṃ θβahmī ā dāṃ, Ahurā*, 'his lodgings (are) in thy house, Lord'; 51. 10 *huuō dāmōiš drūjō humuš; tā duždā, yōi hənṭī*, 'he (is) a son of the creator of Wrong, and thus (is) a malefactor (of all) who exist'; 51. 16 *spəntō Mazdā Ahurō*, 'bounteous (is) Mazdā the Lord'.

Examples of nominal relative clauses:⁴ 28. 2 *ahuuā, astuuatascā hiiatcā manāḡhō*, 'both existences, the material one and (the one) that (is) of thought'; 31. 5 *voḥū manāḡhā ... yehiiā mā θrašiš*, 'with Good Thought, the one whose prophet (I am)'; 31. 7 *tā ... mainiiū uxšiiō, yē ā nūrəmcīṭ ... hāmō*, 'through that will ... thou dost increase, which even now (is) the same', or perhaps 'who (art) the same'; 31. 12 *yaθrā maēθā*, 'where (there is) uncertainty'; 31. 13 *yā frasā āuušiiā* 'the question that (is) overt'; 31. 21 *yē hōi mainiiū šiiəoθnāišcā uruuāθō*, '(to him) who (is) his ally in will and deeds'; 32. 16 *xšaiiṃ ... yehiiā mā aiθišcīṭ duuaēθā*, 'in control of (that) whose danger (is) a threat'; 33. 3 *yē ašāumē vahištō*, 'he who (is) best to the righteous one'; 33. 6 *yē zaotā ašā θrəzuš, huuō ... kaiiā*, 'I who (am) a straight minister in accord with Right, desire'; 33. 11 *yē səuuīštō Ahurō*, 'thou who (art) the strongest Lord'; 34. 13 *mīždəm, Mazdā, yehiiā tū daθrəm*, 'the reward, Mazdā, of which thou (art) the gift'; 44. 5 *kē yā ušā arəm.piθβā xšapācā*, 'who (is it) through whom (there are) morning, noon, eve?'

Nominal conditional clauses occur in three places: 31. 2 *yezī āiš nōiṭ uruuānē aduuā aibī.dəraštā vašiiā*, 'if through these (words) the better way (is) not in plain view to the soul'; 32. 6 *pourū aēnā ānāxštā yāiš srauuahieitī, yezī tāiš aθā*, 'the many offences against peace by which he seeks renown, if by them (he is doing) so'; 44. 6 *yā frauuxšiiā yezī tā aθā haiθiiā*, 'if the things I am about to say (are) true thus'.

Interrogative sentences⁵

9. Most interrogative sentences are introduced by an interrogative pronoun or adverb such as *kē* or *ciš* 'who?', *kaṭ* 'what?', 'est-ce que ...?', *kadā* 'when?', *kaθā* 'how?', *kudā* or *kuθrā* or *kū* 'where?', 'whither?', *katārem* 'which of the two?' These always stand in initial position. See further §§136–9.

Interrogative sentences are not necessarily signalled in this way. In oral delivery they were no doubt distinguished by a particular intonation, but in the

4 Cf. Caland 17–28; Benveniste 215–21.

5 Reichelt §§722–4.

texts, in the absence of one of the above question-markers, we cannot identify them by any formal feature such as word order. In two passages they are indicated by accompanying references to questioning: 29. 5 *hiiat Mazdām duuaidī frasābiiō*: ‘*nōit arəžəjiōi frajiiāitiš, nōit fšuiieņtē drəguuasū pairi?*’ ‘as we set Mazdā to our questions: “Is there no prospect for the righteous-living one, none for the stock-raiser, among the wrongful?”; 44. 10 *tať v̄βā pərəsā, ərəš mōi vaocā, Ahurā: tať daēnať, ... ārmatōiš uxδāiš šīiaov̄nā ərəš daidiiat?* ‘This I ask thee, tell me straight, Lord: that religion, ... do they with pious words and deed have a true conception of it?’

In other cases the interpretation of sentences as interrogative depends on the sense of the passage.

Examples of interrogative nominal sentences: 29. 2 *kaṽā tōi gauuōi ratuš, hiiat hīm dātā xšaiiaņtō?* ‘how (was) thy ruling for the cow, when ye powers put her here?’; 29. 5, see above; 29. 7 *kas.tē, vohū manajhā yā ...?* ‘whom hast thou, who by good thought ...?’; 29. 11 *kudā ašəm vohucā manō xšāvramcā?* ‘where (are) Right and Good Thought and Dominion?’; 34. 5 *kať vā xšāvram, kā ištīš?* ‘what (is) your power, what your ability?’, cf. 48. 8, 49. 12; 44. 3 *kas.nā zaṽā ptā Ašahiiā paouruiō?* ... *kā yā mā uxšiiieitī nərəfsaitī v̄βať?* ‘who (was) the father-begetter of Right in the beginning? ... Who (is it), through whom the moon waxes or wanes?’

Negation

10. The regular particle of negation in the *Gāthās* is *nōit*. In *YH* we find only *naē* (once): 35. 2 *naē naēstārō yaṽənā vohunam mahī*, ‘we are not revilers of what is good’.⁶ In the *Gāthās* this appears only in *naē.ciš*, *naē.cit*, ‘no one’, ‘nothing’.

In prohibitions the negative is *mā* (§192). In wishes and advice expressed in the optative, however, it is *nōit* (§§188–9).

11. Adjectives and nouns may be negated with the prefix *a-/an-*. These negative forms are often juxtaposed with the corresponding positive ones for rhetorical effect; see §§381–4. In at least some such cases the negative form appears to be newly coined *ad hoc*. Thus in 31. 10 the *a-* prefix is used to create a nonce antithesis between herdsman (*vāstriiō*) and non-herdsman (*auuāstriiō*); it corresponds to *vāstriiāt vā ... yā vā nōit aňhať vāstriiō* in the preceding stanza, ‘the herdsman or he who is not a herdsman’.

The same form of negation is used with participles, as 28. 3 *ayžaon-uuamnəm* ‘unimpaired’; 31. 12 and 17 *əuuīduuā* ‘unknowing’; 31. 15 *adrujiiāņtō* ‘innocent’; *YH* 35. 4 *asrunuuatascā ... axšaiiaņtascā* ‘not hearing, not having

6 See Narten 91 f.

authority'.⁷ And with *nomina actionis* in *-ti-*: 30. 11 *ānaitī* 'through failure'; 34. 9 *auuisī* 'through non-acquisition'.

12. *nōit* may negate a whole sentence or clause, or a single word within it. When it negates a single word, that word generally contains a verbal element, as in 29. 3 *ahmāi Ašā, nōit sarōjā ... paitī.mrauuat*, 'to him Right, not a union-breacher, will answer'; 49. 4 *yaēšam nōit huuarštāiš vaš dužuuarštā*, 'through whose not doing-good-deeds the ill deeds prevail'; apparently 46. 6 *yas.tēm nōit nā isəmnō ā (i)yāt*, 'the man who comes to him unwanted', though if this is the correct analysis it is an exception to the principle that participles are negated by *a-*.

In a nominal sentence *nōit* may be equivalent to 'there is not': 29. 1 *nōit mōi vāstā xšmat aniiō*, 'I have no pastor other than you'; 29. 3 *auuaēšam nōit vīduiē*, 'of those things (there is) no knowing'; 29. 6 *nōit aēuuā ahū vistō naēdā ratuš ašātēt hacā*, 'indeed no patron has been found, nor a ruling in line with Right'. Similarly in a question: 29. 5, quoted in §9.

nōit is several times used in contrastive expressions of the type 'A, not B'; see §383. 'Not A ... nor B' is *nōit ... naēdā ...*, as in 29. 6 just quoted; 46. 1, where A and B are again nouns; 49. 2, where they are verbal clauses.

13. If it is a whole main clause that is negated, the negative particle regularly stands in initial position, unless preceded by a demonstrative adjective or pronoun (with any subjoined enclitic), as in 28. 9 *anāiš vā nōit ... yānāiš zaranaēmā*, 'with these prayers may we not anger you'; 29. 3 *auuaēšam nōit vīduiē*, 'of those things there is no knowing'; 30. 6 *aiiā nōit ərəš višūitā daēuuācinā*, 'between those two even the Daevas do not rightly discriminate'. In 32. 7 the demonstrative is accompanied by its noun: *aēšam aēnaḥam naēcēt vīduuā aojōi*, 'of those offences I declare that I know nothing'.

The same rule applies to subordinate clauses, where the negative normally follows the relative pronoun or other connective: 31.15 *yā nōit jiiōtūm hanarā vīnastī vāstriehiiā aēnaḥō*, 'who cannot find a livelihood without wronging the herdsman'; 31. 5; 34. 8; after relative + enclitic, 51. 6 *yā hōi nōit vīdāitī*, 'who will not serve him'. In 44. 13 the relative pronoun has an adjectival phrase appended to it before the negative: *yōi asruštōiš pəranāḥō nōit Ašahiiā ādūuuieinī hacānā*, 'who, being full of non-compliance, do not strive for the companionship of Right'. Demonstrative intervening before the negative: 31. 2, quoted in §8; demonstrative + enclitic, 45. 3 *yōi im vā nōit iḏā maḏrəm varāšəntī*, 'those of you who do not so act on this prescript'.

In one passage the *nōit* is further delayed: 44. 19 *yas.taṭ mīzdəm hanəntē nōit dāitī*, 'he who does not give that reward to one earning it'. Here the demonstrative *taṭ* brings its noun with it (as in 32. 7 above), but then *hanəntē nōit dāitī* is preferred to *nōit hanəntē dāitī* to avoid the suggestion of 'gives to one not earning it'. It should not be supposed that the negative is attracted to the verb; in a

7 Cf. Delbrück ii. 529–31.

number of places we find initial *nōi* combined with a verb in penultimate or final position. Penultimate: 43. 15; 49. 2, 9. Final: 31. 10; 45. 1 (end of verse), 2; 46. 8; similarly with *naē* in *YH* 35. 2.

